

# 8 Generalizations and Extensions of Fuzzy Sets

*Fuzzy Systems Engineering  
Toward Human-Centric Computing*

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# 8.1 Fuzzy sets of higher order

# Fuzzy sets: a retrospective view

- So far we distinguished between
  - *implicit*, and
  - *explicit*description of phenomena when dealing with fuzzy sets
- Typically explicit fuzzy sets we discussed so far were defined in some universe of discourse:
  - each element of the universe is associated with a membership degree

# Fuzzy sets of order 2

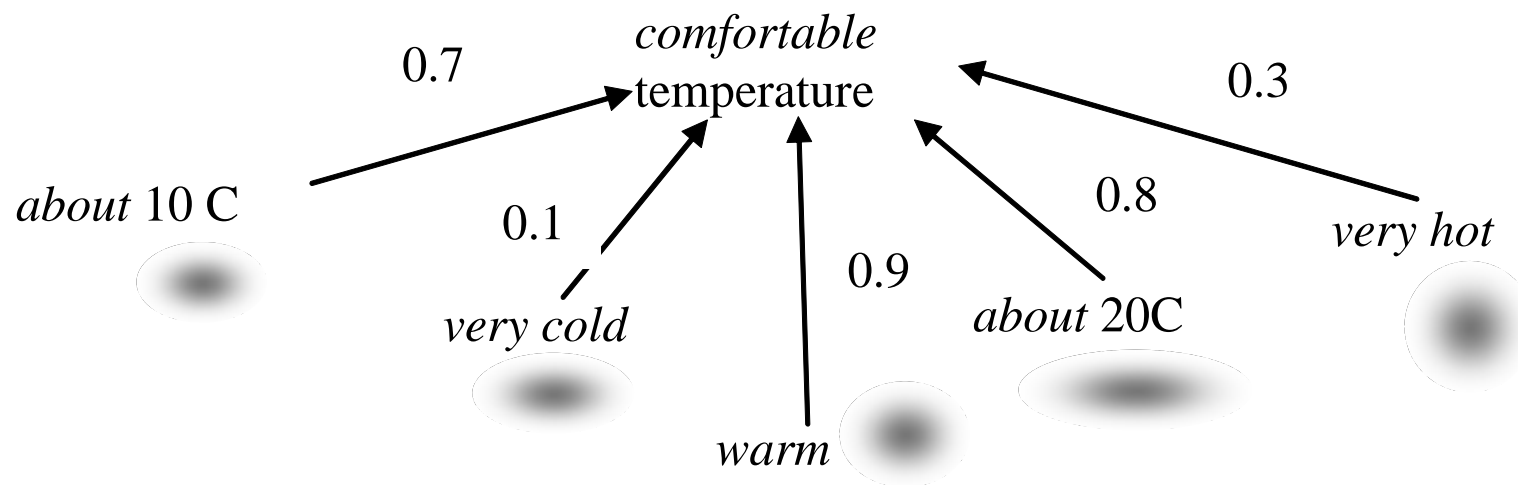
- Defining fuzzy set over a finite family of fuzzy sets
- Example

Describe comfortable temperature given a collection of generic terms (reference fuzzy sets) such as

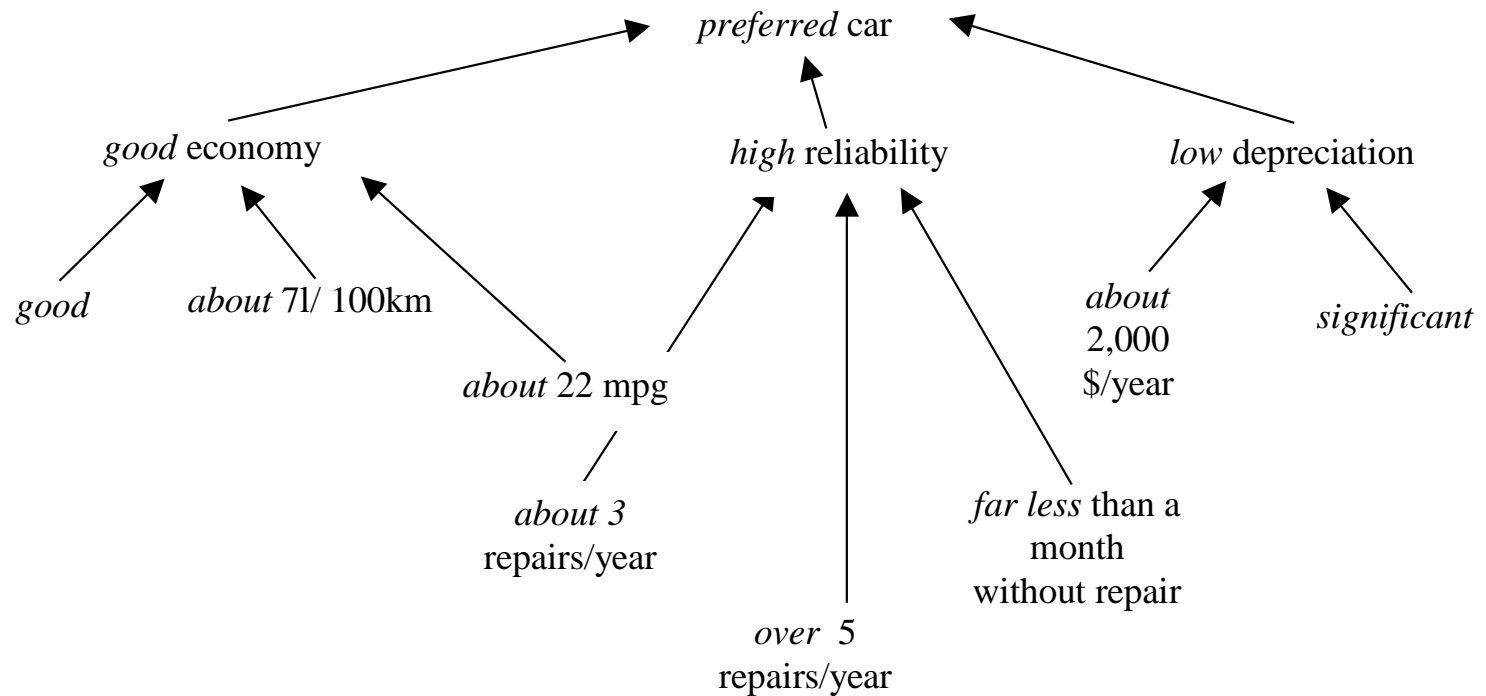
*warm,*  
*hot,*  
*cold,*  
*around 15C,*

...

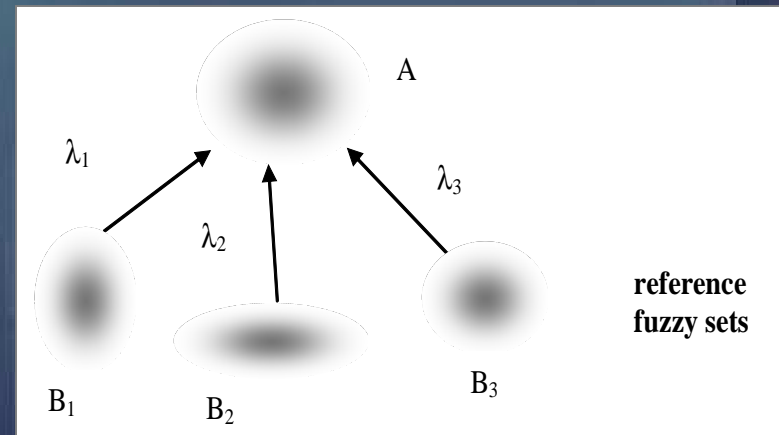
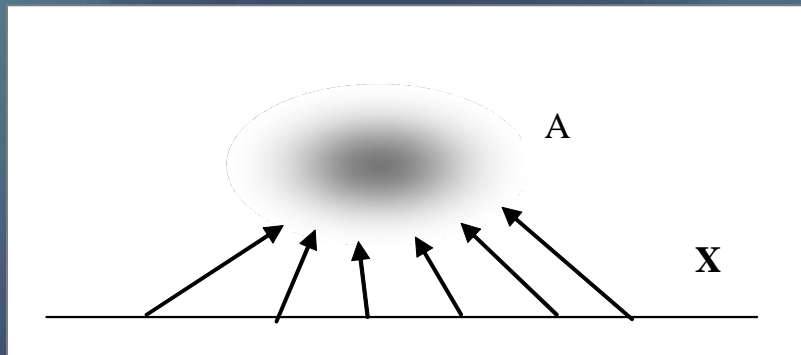
# Fuzzy set of order 2



# Fuzzy set of order 2



# Fuzzy sets of order 2 vs. fuzzy sets: a comparative view



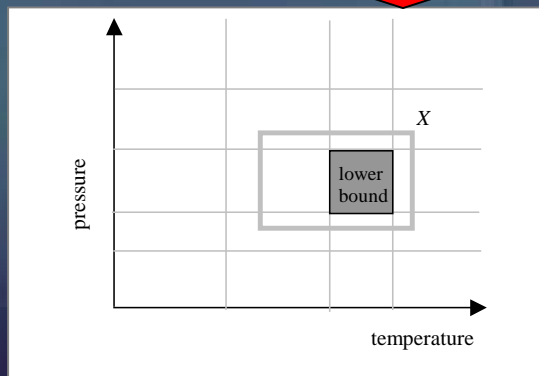
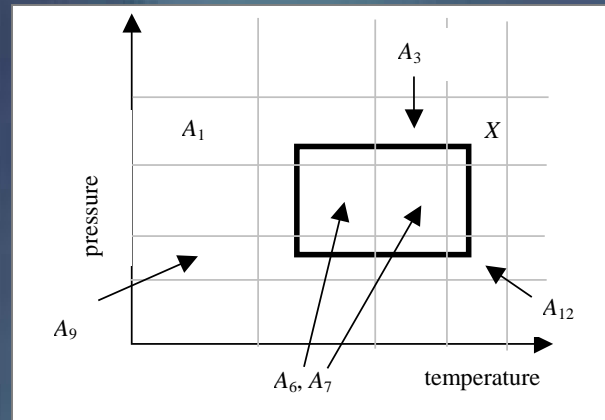
note the fundamental difference in terms of the universes of discourse for fuzzy sets and fuzzy sets of 2<sup>nd</sup> order

## 8.2 Rough fuzzy sets and fuzzy rough sets

# Fuzzy sets and rough sets

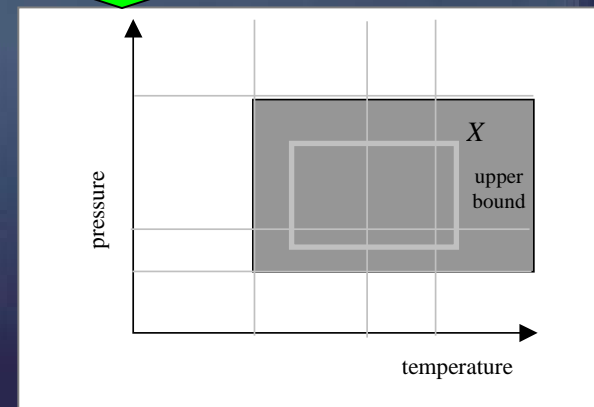
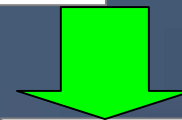
Recall that in rough sets we start with a finite collection of information granules using which we express any given granule in terms of so-called lower and upper bound

# Rough sets – an example



Upper bound

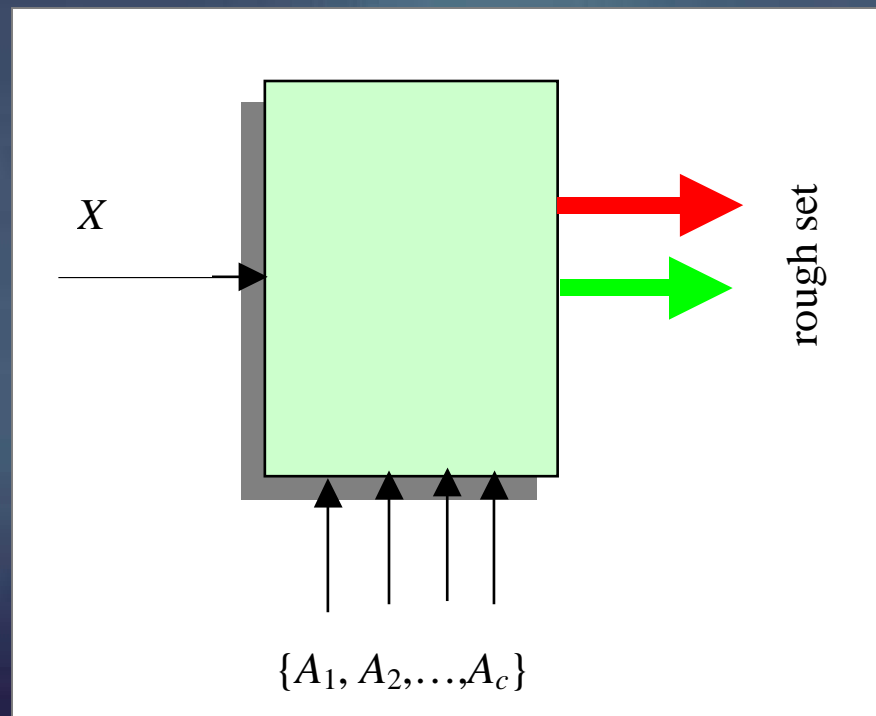
Lower bound



$$X_+ = \{A_i \mid A_i \cap X \neq \emptyset\}$$

$$X_- = \{A_i \mid A_i \subset X\}$$

# Rough sets – schematic representation



# Fuzzy rough sets and rough fuzzy sets

- In rough sets the vocabulary and incoming object were information granules represented as sets.
- Two useful alternatives could be considered:

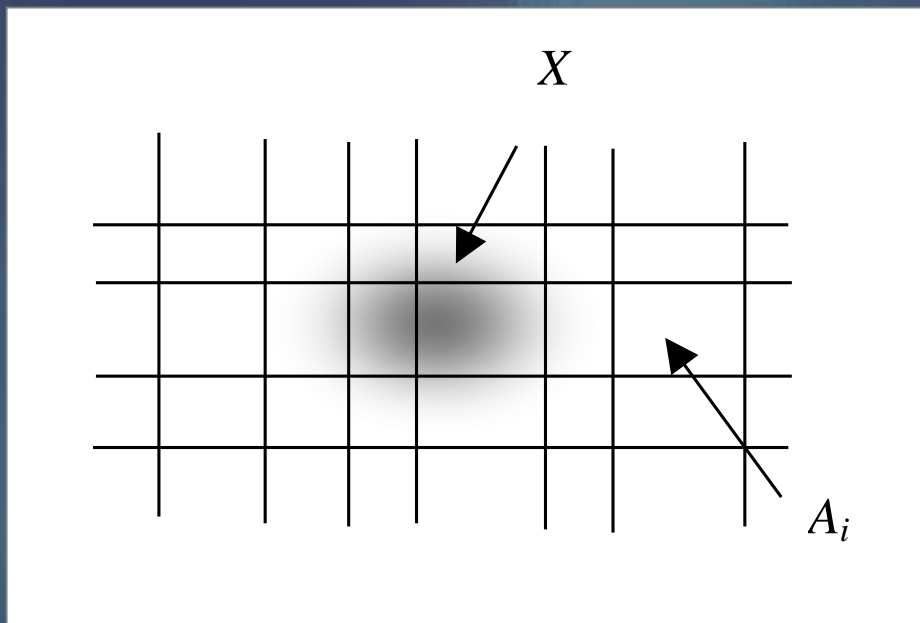
1-Reference information granules == sets  
Object to be described == fuzzy set

**Fuzzy rough  
sets**

2-Reference information granules == fuzzy sets  
Object to be described == set

**Rough fuzzy  
sets**

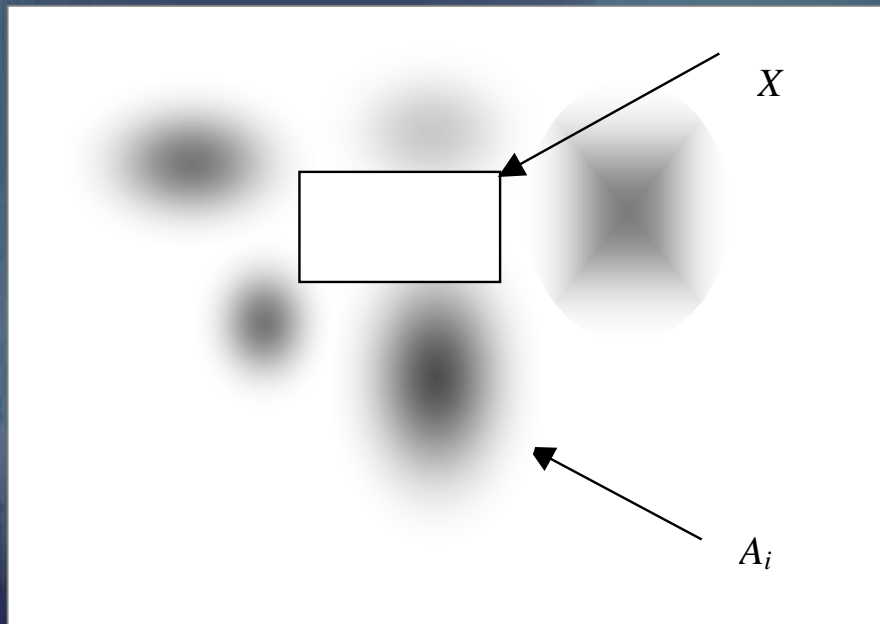
# Fuzzy rough sets



$$X_+(A_i) = \sup_x [\min(A_i(x), X(x))] = \sup_{x \in \text{Supp}(A_i)} X(x)$$

$$X_-(A_i) = \inf_x [\max(1 - X(x), A_i(x))]$$

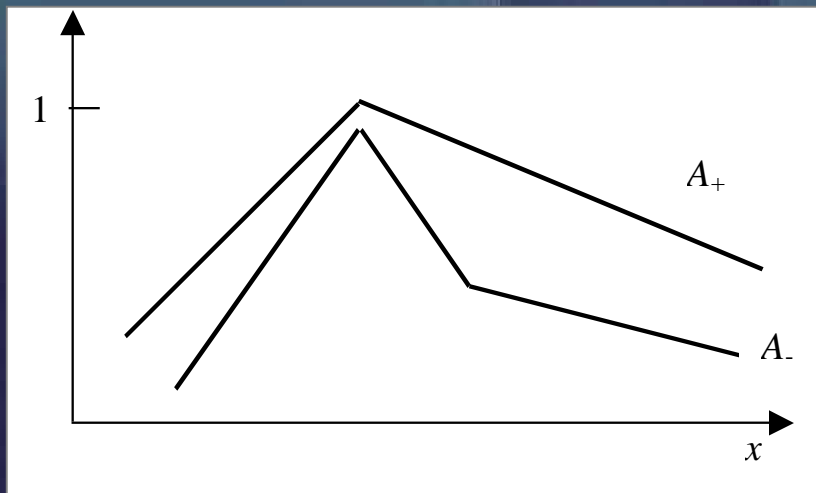
# Rough fuzzy sets



## 8.3 Interval-valued fuzzy sets

# Interval-valued fuzzy sets

- We consider that instead of single membership grades, there are intervals of feasible membership values
- This brings a concept of interval-valued fuzzy sets where the concept of membership is represented in the form of interval



# Interval-valued fuzzy sets: operations

- Given  $A = (A_-, A_+)$  and  $B = (B_-, B_+)$

$$(A \cup B)(x) = (\min(A_+(x), B_+(x)), \max(A_-(x), B_-(x)))$$

Union

$$(A \cap B)(x) = (\max(A_+(x), B_+(x)), \min(A_-(x), B_-(x)))$$

Intersection

$$\bar{A}(x) = (\bar{A}_+(x), A_-(x))$$

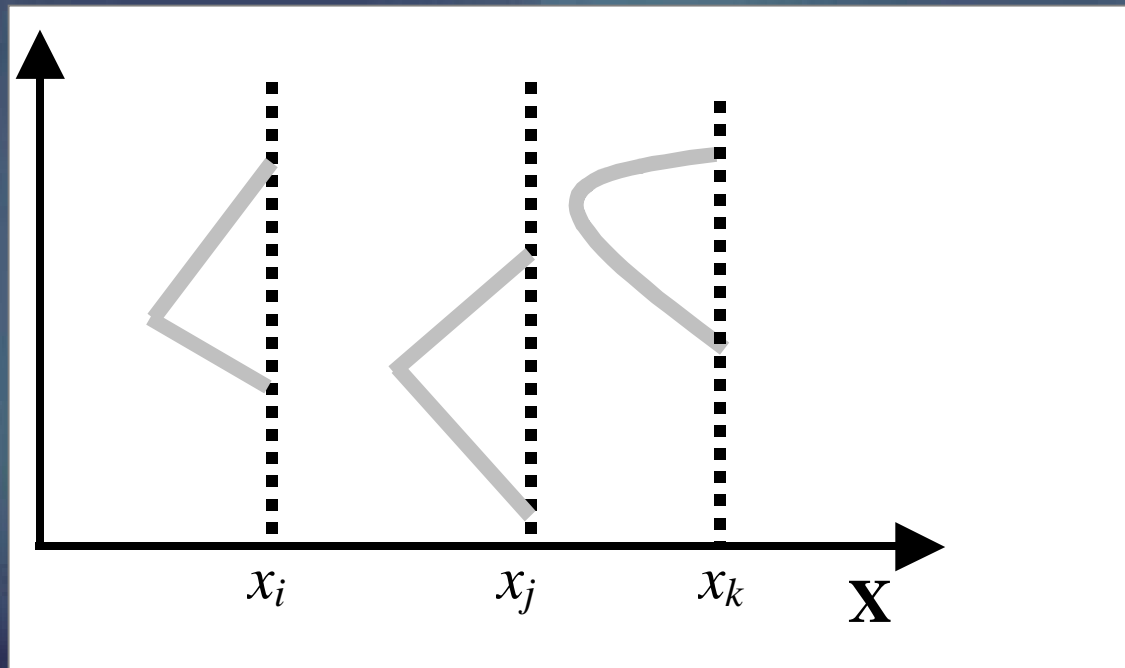
Complement

## 8.4 Type-2 fuzzy sets

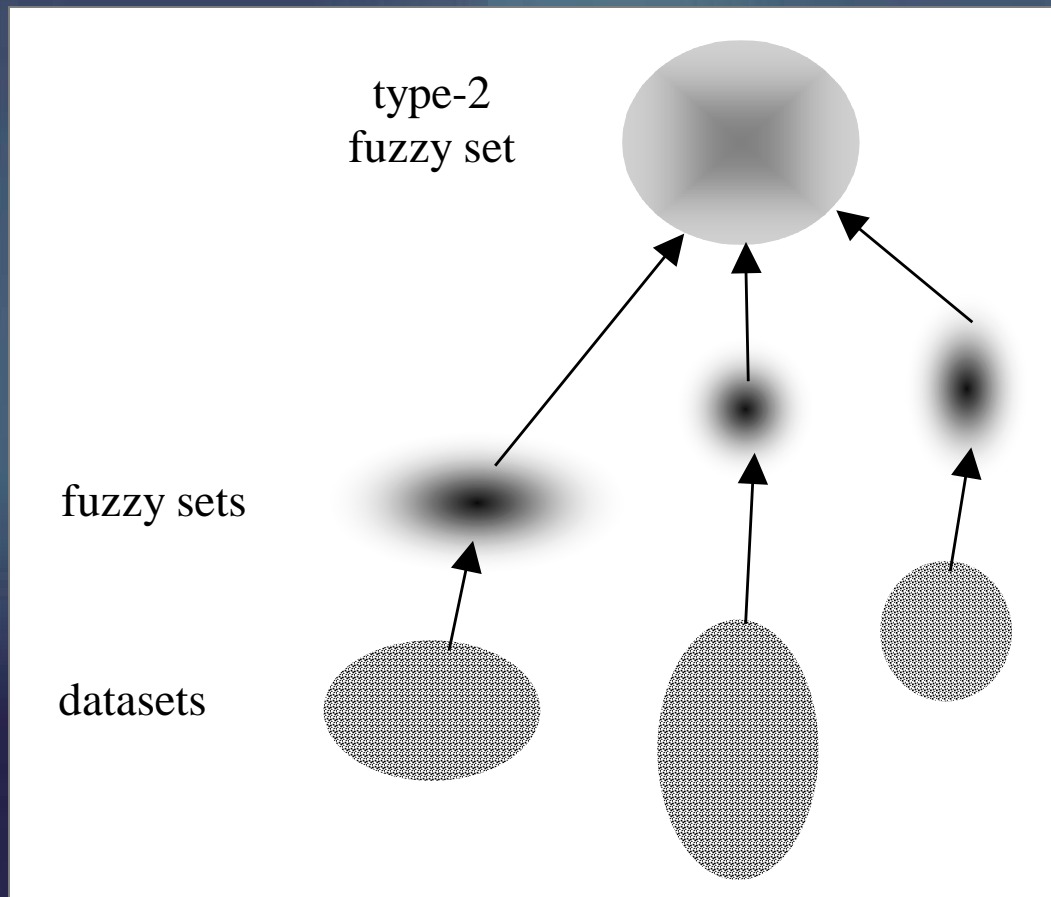
# Type-2 fuzzy sets

- Membership degree treated as a single number in  $[0,1]$
- Could the membership itself be a fuzzy set?
- **Type-2 fuzzy set**: admit membership modeled as fuzzy sets defined in  $[0,1]$

# Type-2 fuzzy set: Example



# Type-2 fuzzy sets as results of aggregation



# Intuitionistic fuzzy set

- Information granule  $A$  in which we consider:
  - degree of membership  $A^+$
  - degree of non-membership  $A^-$

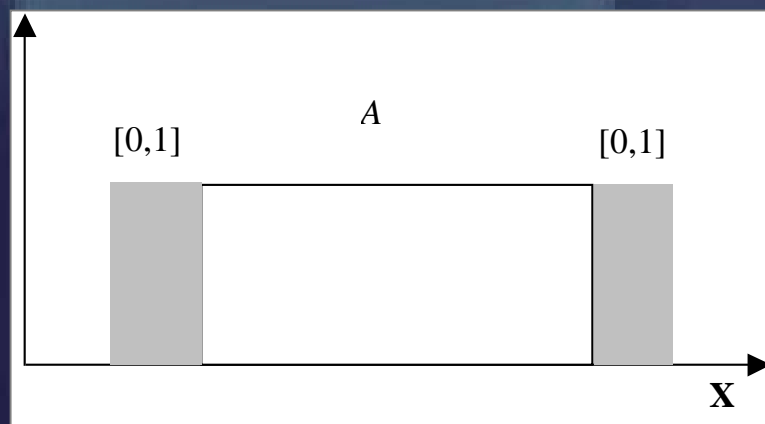
where

$$A^+(x) + A^-(x) \leq 1$$

## **8.5 Shadowed sets as a three-valued logic characterization of fuzzy sets**

# Shadowed sets

- Information granule  $A$  in which we admit:
- Full membership
- Full exclusion, and
- Shadow – range of  $[0,1]$



# Shadowed sets: operations

$$A: \mathbf{X} \rightarrow \{0, 1, [0,1]\}$$

$$S = [0,1]$$

$A \setminus B$	0	$S$	1
0	0	0	0
$S$	0	$S$	$S$
1	0	$S$	1

intersection

$A \setminus B$	0	$S$	1
0	0	$S$	1
$S$	$S$	$S$	1
1	0	1	1

union

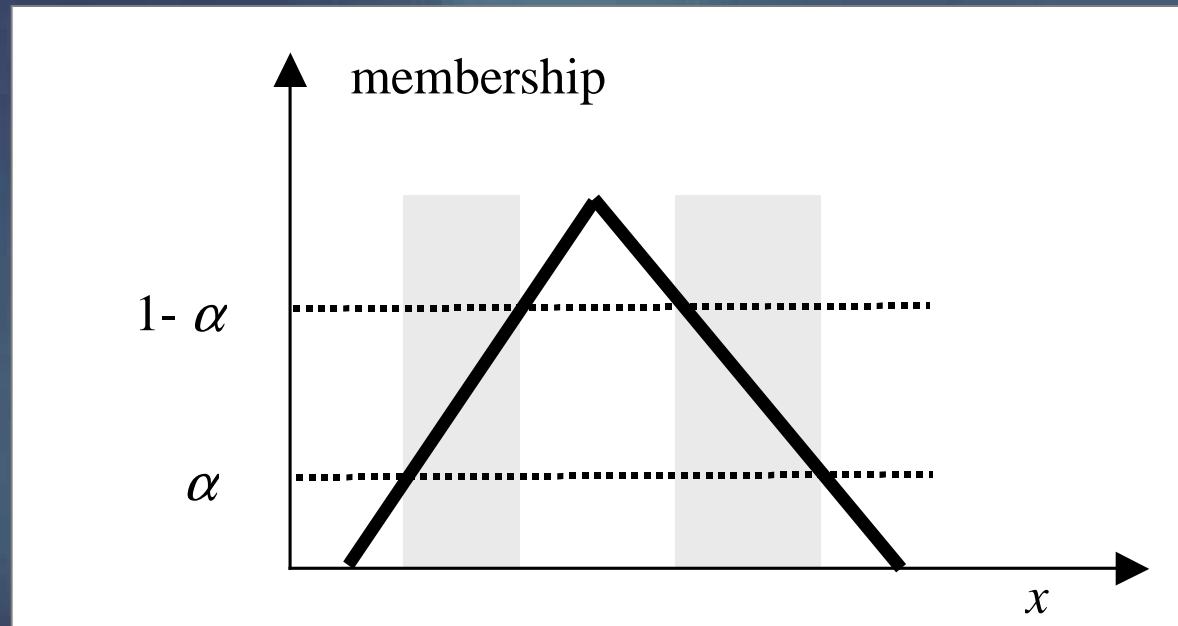
$A$	$\underline{A}$
0	1
$S$	$S$
1	0

complement

# Development of shadowed sets

- Shadowed sets are viewed as constructs implied by fuzzy sets:
  - “localization” of membership values by forming shadows and using only 0 - 1 degrees of membership
  - shadowed sets support simpler computing by operating on three logic values

# From fuzzy set to shadowed set



reduction of membership + elevation of membership = shadow

# From fuzzy set to shadowed set

$$\int_{x:A(x)\leq\alpha} A(x)dx$$

membership reduction

$$\int_{x:A(x)\geq 1-\alpha} (1-A(x))dx$$

membership elevation

$$\int_{x:\alpha < A(x) < 1-\alpha} dx$$

shadow

$$V(\alpha) = \left| \int_{x:A(x)\leq\alpha} A(x)dx + \int_{x:A(x)\geq 1-\alpha} (1-A(x))dx + \int_{x:\alpha < A(x) < 1-\alpha} dx \right|$$

performance index

$$\alpha_{opt} = \arg \min_{\alpha} V(\alpha)$$

# From fuzzy set to shadowed set

- Triangular membership function:  $\alpha = \sqrt{2} - 1$
- Parabolic membership function:  $\alpha = 0.405$

# From fuzzy set to shadowed set: discrete case

$$V(\alpha) = \left| \sum_{k \in \Omega} u_k + \sum_{k \in \Phi} (1 - u_k) - \text{Card}(\Omega) \right|$$

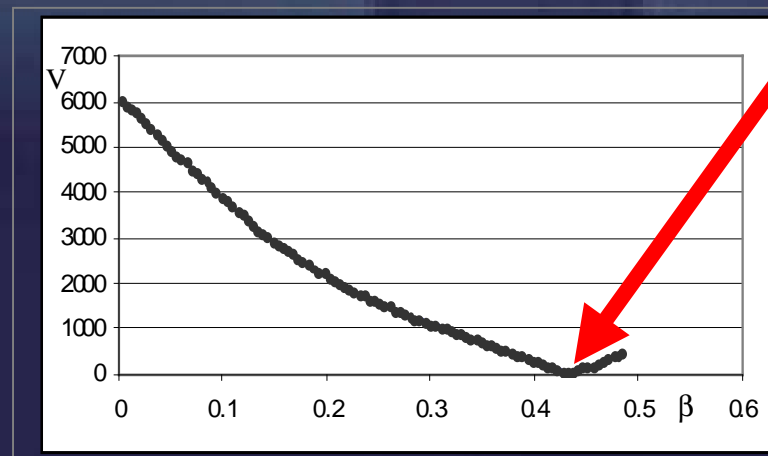
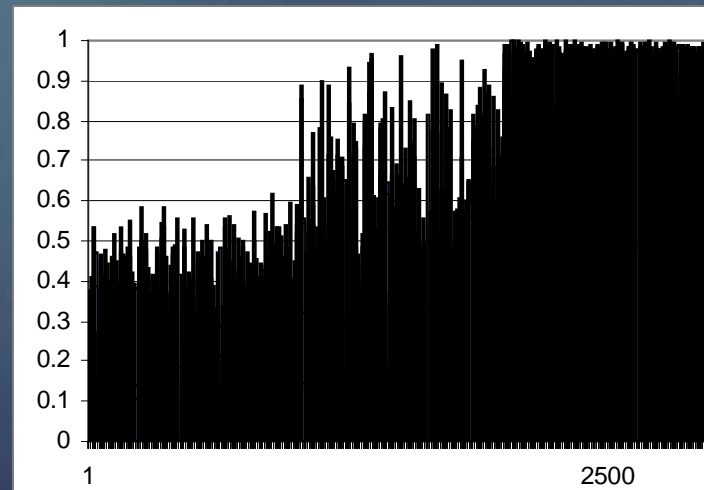
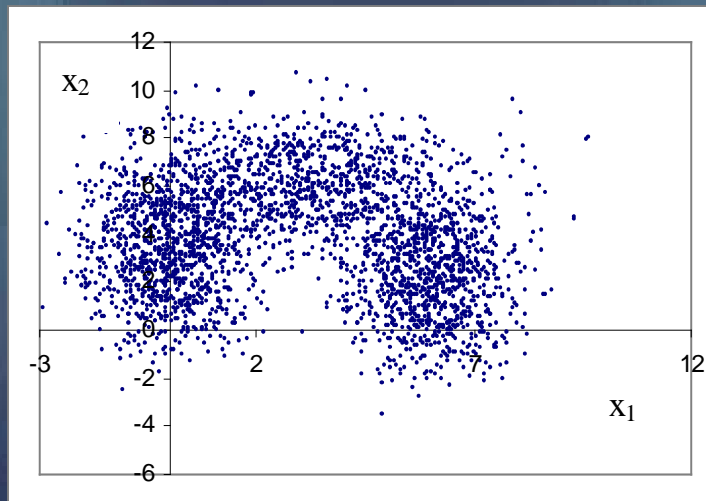
Minimize  $V(\alpha)$  w.r.t.  $\alpha$

s.t.  $u_{\min} \leq \alpha \leq (u_{\min} + u_{\max})/2$

# Shadowed sets in fuzzy clustering

- Fuzzy clustering could be conveniently interpreted using shadowed sets
  - elements completely belonging to the cluster
  - elements completely excluded from the cluster
  - elements with uncertainty (*shadow* of the cluster) that are “flagged” in this way and may require further attention

# Shadowed sets in fuzzy clustering: Example

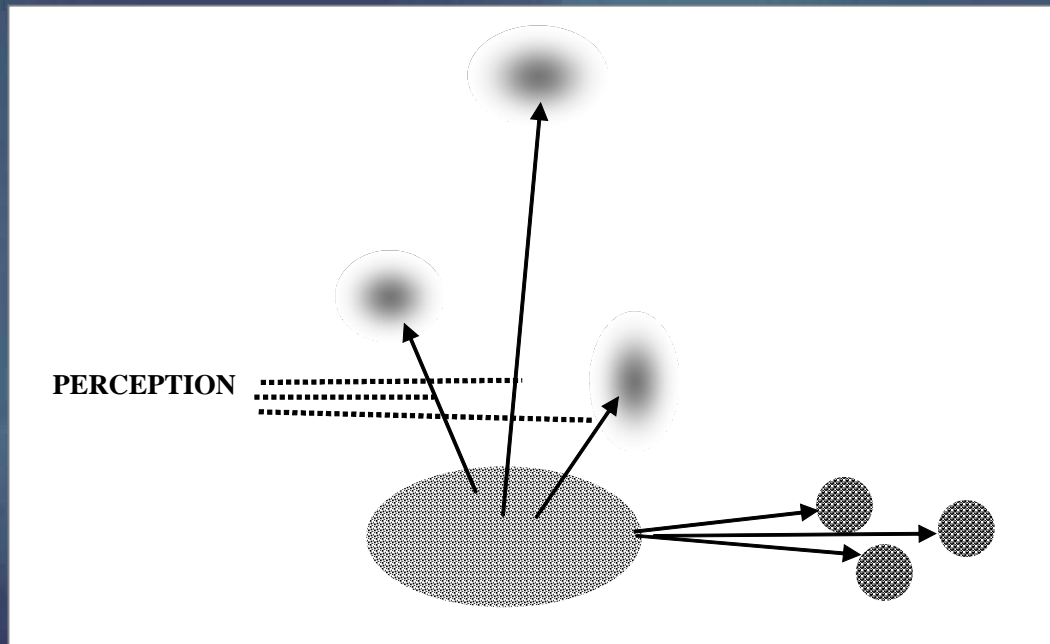


## 8.6 Probability and fuzzy sets

# Probability and fuzzy sets

- Fuzzy sets and probability are orthogonal concepts:
  - **probability** is concerned with occurrence of Boolean phenomena
  - **fuzzy sets** are concerned with perception of concepts

# Probability and fuzzy sets



## 8.7 Probability of fuzzy events

# Probability of fuzzy events

- What is the probability of *low* temperature tomorrow
- What is the probability of *high* inflation in a *short* term
- What is the probability of *small* steady state error of boiler pressure

# Probability of fuzzy events

- Underlying probability density function in  $\mathbf{X}$ :  $p(x)$
- Fuzzy event (fuzzy set):  $A$
- Probability of fuzzy event

$$\int_{\mathbf{X}} A(x) dP(x) = \int_{\mathbf{X}} A(x) p(x) dx$$

(assume that the integral does exist)



This is the expected value  $E(A)$  of the membership function of  $A$

# Probability of fuzzy events

Variance

$$E^2(A) = \int_{\mathbf{X}} [A(x) - E(A)]^2 p(x) dx$$

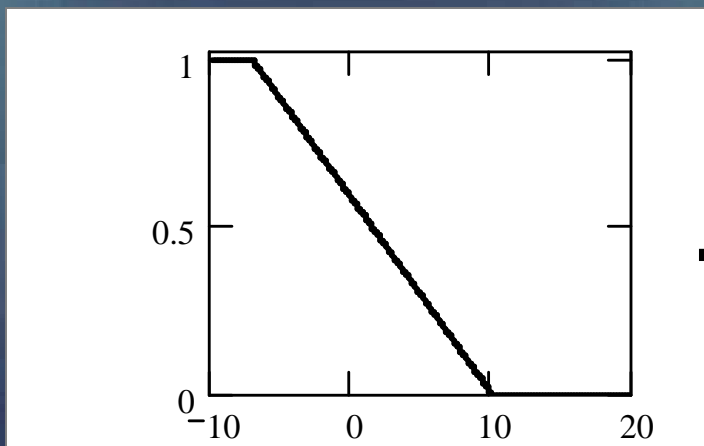
Standard deviation

$$\sigma(A) = \sqrt{E^2(A)}$$

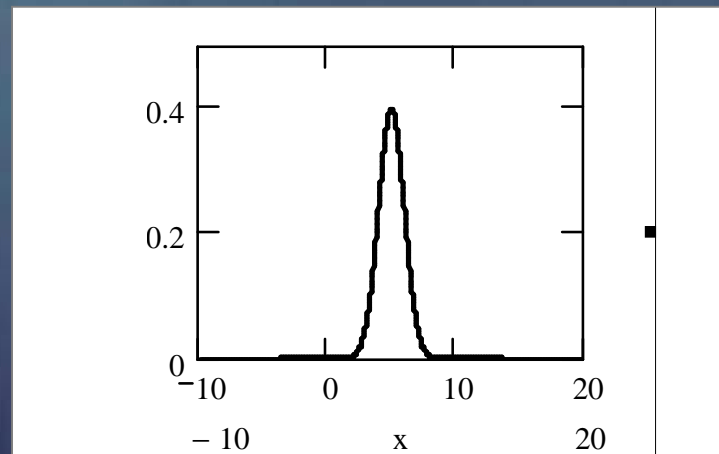
High order moments

$$\int_{\mathbf{X}} [A(x) - E(A)]^r p(x) dx \quad r > 2$$

# Probability of fuzzy events: Example



$A = \text{low temperature}$

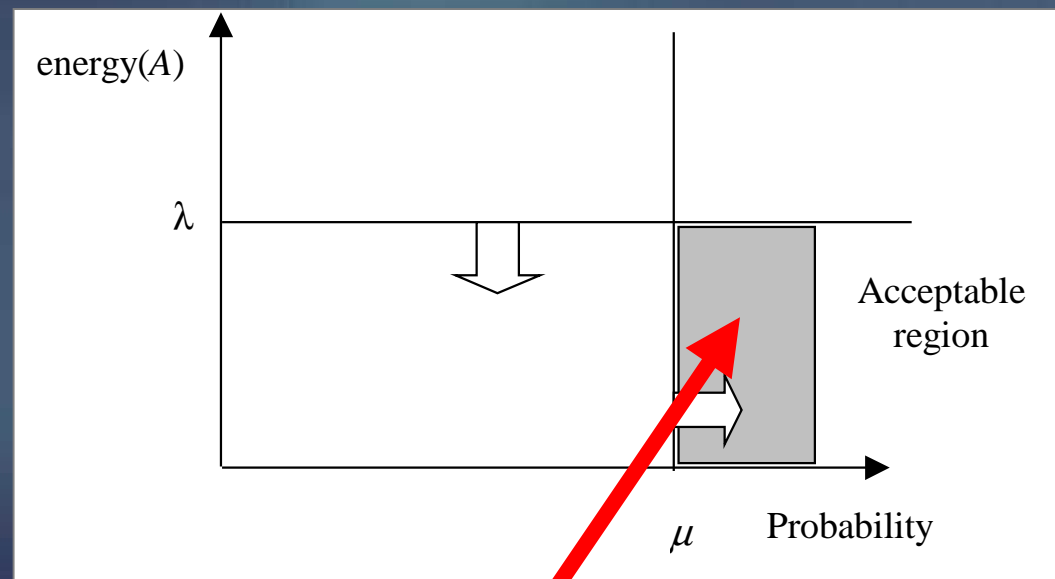


pdf of temperature

$$P(A) = 0.294 \quad \sigma(A) = 3.46 \times 10^{-3}$$

# Probability of fuzzy events: orthogonality

Semantic  
validity

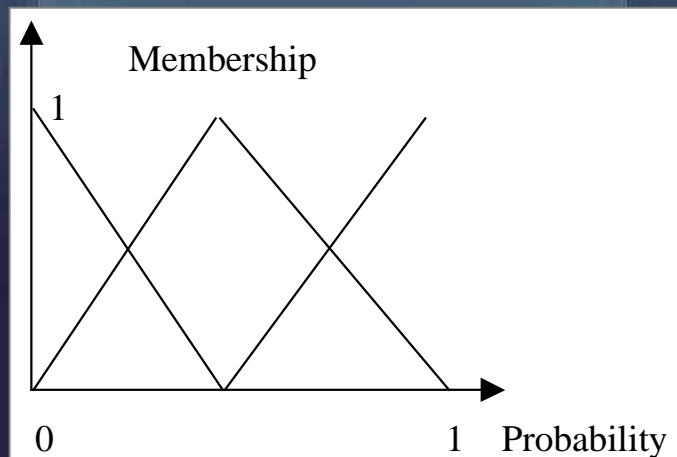


experimental evidence

# Linguistically quantified statements

Linguistic probabilities:

*low probability, high probability, probability around 60%...*



# Linguistically quantified statements: computing

- Random variable  $a_i$  with linguistic probabilities  $P_i$

$$Z = \sum_{i=1}^n a_i P_i$$

- Extension principle:

$$Z(z) = \sup[\min(P_1(p_1), P_2(p_2), \dots, P_n(p_n))]$$

$$s.t. \quad z = \sum_{i=1}^n a_i p_i$$

$$\sum_{i=1}^n p_i = 1$$

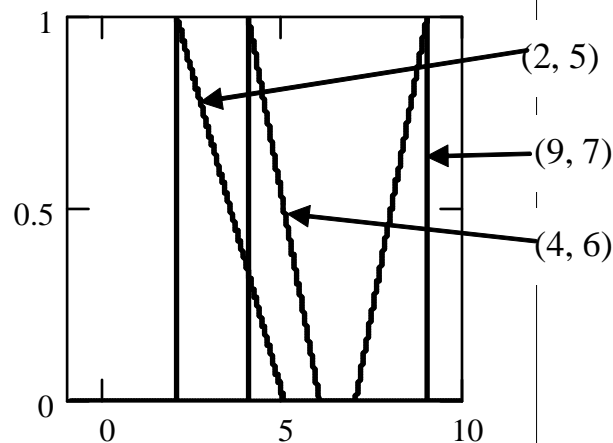
# Linguistically quantified statements: Example

$$Z = a_1 \text{ likely} + a_2 \text{ unlikely}$$

$$Z(z) = \text{likely} \left( \frac{z - a_2}{a_1 - a_2} \right)$$

$$\text{likely}(u) = \text{unlikely}(1 - u)$$

$$\text{likely}(u) = u$$



$$\text{likely}(u) = u^2$$

